INFORMATION DISCLOSURE CITATION Applicant Appl	FORM PTO-1	449	•					<u> </u>		·	Docket Numbe		Application N	umber	,	
B. Fruchtman et al. Filing Date Standard Standa	IN	F <i>9</i> /{	VA TO	1	DISC	LOS	URE	SJ092000017	SJ0920000171 09/769,611							
January 24, 2001 2152	• • • /	, 0,		7.	3 N											
DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE FAPROP.																
DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE FAPROP.	`	ATENT	e trad	EMARK	/ 			U	.S. PATEN	T DO	CUMENTS					
S	EXAMINER INITIAL								DATE	NAME CLASS		SUBCLASS				
S	RMO	5	4	1	8_	7	6	7	05/23/95	Gaudet et al.						
DEC 1 0 2001 DEC	lw.	5	7	7	4_	6	6	8	06/30/98	Cho	quier et al.					
FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS Translation YES NO	w	5	9	1	3	0	4	1	06/15/99	Ramanathan et al.			RECEIVE	<u> </u>		
FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER	<u> </u>	5	9	5	0	1	7	0	09/07/99	Pan et al.			 DFC 1			
FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS Translation YES NO 2 2 0 2 5 7 2 97.04.04 Canada OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) Tivoli Enterprise" Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/38, pp. 1-66	W.	5	9	7	4	4	2	0	10/26/99	Lehi	man et al.			1		
DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS Translation YES NO 2 2 0 2 5 7 2 97.04.04 Canada OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) Tivoli Enterprise" Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66		Group 2100														
DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS Translation YES NO 2 2 0 2 5 7 2 97.04.04 Canada OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) Tivoli Enterprise" Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66																
DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS Translation YES NO 2 2 0 2 5 7 2 97.04.04 Canada OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) Tivoli Enterprise" Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66							<u> </u>					<u> </u>				
DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS Translation YES NO 2 2 0 2 5 7 2 97.04.04 Canada OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) Tivoli Enterprise" Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66								<u> </u>								
DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS Translation YES NO 2 2 0 2 5 7 2 97.04.04 Canada OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) Tivoli Enterprise" Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66												_				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) Tivoli Enterprise" Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66								FOR	EIGN PATE	NT D	OCUMENTS					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise" Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66		DOCUMENT NUMBER DATE									COUNTRY	CLASS	SUBCLASS			
L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED	DWD	2	2	0	2	5	7	2	97.04.04	Can	ada					
L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED																
L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED																
L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED																
L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED												1		, <u></u>		
L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial WebSites", 1999 Seventh International Workshop on Quality of Service, conference paper, (abstract) A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED					THE	R DOG	CUME	NTS	(Including Aut	hor, 1	itle, Date, Pertin	ent Pages, l	Etc.)			
A. Kavusturucu et al., "Expansion Method for the Throughout Analysis of Open Finite Manufacturing/Queueing Networks with N-Policy", Computers & Operations Research, Vol. 26, No. 13, pp. 1267-1292, Nov. 1999 (abstract) A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED	MD,	L. Cherkasova et al., "Session-based admission control: a Mechanism for Improving Performance of Commercial														
A. Turpin et al., "Efficient Approximate Adaptive Coding", Proceedings DCC '97, Data Compression Conference, March 1997, conference paper, (abstract) "Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED DATE CONSIDERED DATE CONSIDERED	VUD,	A. K	avust	urucu	et al	., "Ex	pans	ion M	ethod for the	Throu	ghout Analysis o	f Open Finit	e Manufacturing	/Queueing	stract)	
"Tivoli Enterprise™ Concepts, Architecture, and Services", 6/11/01, pp. 1-30 Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED 5/14/04	()tw	A. T	urpin	et al.	, "Eff	icient	Appr	oxima	ate Adaptive (
Tivoli ADSM, "Tivoli ADSM Performance Tuning Guide", IBM ADSTAR Distributed Storage Manager, Version 3, Release 1, 7/16/98, pp. 1-66 EXAMINER DATE CONSIDERED 5/14/04	aux									rvices	″ 6/11/01 pp	1-30				
EXAMINER DATE CONSIDERED 5/14/04	Dw	Tivo	i ADS	SM, "	Tivoli	ADSI	M Per			_		· · · · · · · · · · · · · · · · · · ·	torage Manager,	Version 3,	,	
5/14/04					\bigcap	<u> </u>			- , , <u>-,,,</u>			<u></u>				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609: Draw line through citation if	 · · · · · · · · · · · · · · · · ·			1	17			Ι			1 ,					
	EXAMINER	1	سلا	u L	M	<u></u>		DA	IE CONSIDER	ED	5/14/0	4				